CIRCULAR

Sub: Notification for Closure of Trading Window under the Code of Conduct for Regulating, Monitoring and Reporting of Trading by Designated persons and their immediate relatives and for Fair Disclosure.

1. Pursuant to Code of Conduct for Regulating, Monitoring and Reporting of Trading by Designated persons and their immediate relatives and for Fair Disclosure of HUDCO:

Trading window for dealing in securities of HUDCO shall remain closed from Wednesday, 1st July, 2020 till 48 hours after declaration of financial results for the quarter ended 30th June, 2020. The date of Board Meeting for consideration and declaration of the financial results for the quarter ended 30th June, 2020 will be intimated later.

2. All Designated Employees are hereby notified that they are prohibited to enter/ deal in any transaction involving sale or purchase of HUDCO’s Equity Shares/ Securities during the above period of Closure of Trading Window;

3. Any Designated Employee (covered under the above Code) who trades in HUDCO Equity Shares/ Securities or communicates any Price Sensitive Information for trading in HUDCO Equity Shares/ Securities, in contravention of the above Code, may be penalized under Chapter -9 of the said revised Code;

4. Further the concerned Head of Departments are requested to ensure that this Circular is communicated to all persons having contractual or fiduciary relation with the Company such as auditors, accountancy firms, law firms, analysts, consultants, etc., dealing with the concerned Departments/Wings, for compliance. All Designated employee(s) are also requested to communicate their immediate relatives, about this Circular.

CC to:
1. All members of the Board of Directors of the Company, Key Managerial Personnel and Chief Vigilance Officer;
2. All employees working in the offices of Chairman & Managing Director, Whole-time Directors and Chief Vigilance Officer of the Company, through Secretariat of respective offices.
3. All employees in the level of E0 and above posted in Corporate Office/Regional Offices/HSMI/Development Offices.
4. All notice board of Corporate Office/Regional Offices/HSMI/Development Offices.
5. Statutory Auditors, Practicing Company Secretary
6. Registrar & Transfer Agents (RTA)
7. Debenture Trustees
8. Bankers
9. ED (IT) for posting on Intranet.
10. GM (OL) for translation into Hindi.


Profitability with Social Justice